Export controls and sanctions compliance for an increasingly complex world

The global trade environment is only becoming more complex.

New and emerging workplace technologies. Increasingly complex supply chains. An expanding international customer base. A global workforce. Escalating trade conflicts and shifting sanctions. To address today’s complex trade environment, companies must transform the way they approach export control and sanction requirements.

KPMG helps companies tackle these difficult export controls and sanctions challenges.

At KPMG, our commitment to our clients inspires everything we do, enabling us to deliver innovative and practical export control and sanctions recommendations. Our export controls and sanctions support is customized to meet client needs, taking into account the industry, business segment, product type, customers, sales market, and export control and sanction requirements. Our approach is strategic, and our goal is to provide comprehensive support in order to mitigate compliance and business risks.

We support everything from the development and management of compliance programs to everyday operational needs. Our experienced export control and sanction professionals can lead classification projects, provide compliance assessments and reviews, assist with preparation and implementation of export licenses, train staff, and advise on technology solutions to introduce greater automation. Finally, if your company has M&A activity, KPMG can help with due diligence and transition support.

Ultimately, we help the export and sanctions compliance function develop and improve processes needed to not only achieve compliance, but also to scale operations and become a trusted adviser to executive management.
We offer a wide range of services, including the following:

### Export controls and sanctions program support
- Development of compliance programs and standard operating procedures
- Day-to-day support on specific transactions

### Deemed export service center
- Focal area of guidance for addressing deemed export needs
- Development of compliance programs and standard operating procedures, including technology control plans
- Assistance with deemed export license applications
- Technology solutions for large-scale deemed export licensing challenges
- Deemed export license determinations, including those required when filing a Form I-129 visa petition

### Compliance assessments and reviews
- Evaluation of business operations to determine adequacy of export compliance program
- Export compliance staffing and resource allocation assessment
- Process and gap assessments

### Export license applications and related support
- Export license strategy and business facilitation plans
- Assistance with preparation of export license applications

### Export classifications
- Evaluation and classification to determine controls under applicable regulations
- Preparation of export classification and encryption review requests to governmental authorities
- Preparation of commodity jurisdiction requests to the U.S. Department of State, Directorate of Defense Trade Controls

### Training
- Assessment of training programs and related tools
- Training programs ranging from general awareness to skills training for export compliance professionals

### Mergers, acquisitions and divestitures
- Pre-transaction program reviews and related due diligence
- Export license transfer support
- Post-acquisition transition support

### Voluntary self-Disclosures and remedial measures
- Compliance program reviews and assistance with addressing the root causes of violations or other programmatic issues
- Assessment of resources, program adequacy, and recommended program enhancements
- Preparation of compliance program remediation measures and mitigation plans
- Assistance with preparation of disclosures to governmental authorities
Innovative technology solutions

- Selecting and deploying Global Trade Management solutions
- Robotics and natural language processing solutions for restricted party list screening
- Deemed export license and identity management tools
- Artificial Intelligence and intelligent bots to mine and analyze trade compliance data

CFIUS and FIRMMA support

- Buy-side due diligence, including risk assessment of CFIUS approval
- Preparation of CFIUS notification
- Development of mitigating plans to address CFIUS-identified risks
KPMG LLP’s Trade & Customs professionals can assist your company in reducing costs, improving efficiency, and mitigating risk issues related to engaging in international business. The firm’s Trade & Customs global network consists of over 400 dedicated trade professionals in 80 countries. When addressing complex export and sanctions-related issues, KPMG has the resources to draw upon local knowledge to help companies manage compliance risks in foreign jurisdictions.

If you would like to discuss KPMG’s services related to global export controls and sanctions, contact a member of our Trade & Customs team today:

**Steven Brotherton**  
U.S. & Global Export Controls & Sanctions Leader  
T: 415-963-7861  
E: sbrotherton@kpmg.com

**Amie Ahanchian**  
Trade & Customs, Managing Director  
T: 202-533-3247  
E: aahanchian@kpmg.com

For more information about how KPMG can help, please visit our Trade & Customs page at KPMG.com. Additionally, our newsletter “Insights on Trade & Customs” offers recent news on import and export laws and regulations, global supply chain, trade processes and controls, and more.

Some or all of the services described herein may not be permissible for KPMG audit clients and their affiliates.

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